

LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.	FILING DATE
19433-0100	09/469,586	December 22, 1999
APPLICANT		GROUP
Sterling Michael Pearson		

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Group 2700

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION
					YES NO.
AL					
AM					
AN					
AO					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

AP	✓	Jai Sundar Balasubramaniyan, Jose Ovar Garcia-Fernandez, David Isacoff, Eugene Spafford, and Diego Zamboni. An architecture for intrusion detection using Autonomous Agents. <u>COAST Technical Report 98/05</u> , COAST Laboratory, Department of Computer Sciences, Purdue University, West Lafayette, IN 47907-1398, June 1998.
AR	✓	“Network Security Wizards” (visited October 27, 1999) http://www.securitywizards.com .
AS	✓	“CyberCop Monitor” (visited October, 29, 1999) http://nai.com/asp_set/products/tns/ccmonitor_features.asp .
AT	✓	“Axent: Security That Means Business” (visited October 27, 1999) http://www.axent.com

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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AN					
AO					

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BP	✓	"NFR Intrusion Detection Appliance" (visited October 27, 1999) http://www.nfr.net/products/ida-facts.html .
BR	✓	"ISS SAFeSuite Products" (visited October 27, 1999) http://www.iss.net/prod/rs.php3 .
BS	✓	Greg Shipley, "Intrusion Detection" <u>Networking Computing</u> , November 15, 1999, pp. 48, 50, 52, 56, 58, 60, 66, 68, 74-75.

EXAMINER	DATE CONSIDERED
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FORM PTO-449, Adapted



LIST OF INFORMATION DISCLOSED BY APPLICANT

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ATTY/DOCKET NO. 07609.105002	SERIAL NO. 09/469,586	FILING DATE December 22, 1999
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APPLICANT Pearson	GROUP
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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
ALN	AA ✓ WO 98 26548	6.18.98	Automatic Configuration for Internet Access Device			06.18.97
	AB					
	AC					
	AD					
	AE					
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	AH					
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FOREIGN PATENT DOCUMENTS					
	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
AL					
AM					
AN					
AO					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)					
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ALN	AP	✓ Haixin Duan, Jianping Wu, "Security Management for Large Computer Networks", APCC/OECC '99 Proceedings, 1999, Pages 1208-1213.
ALN	AR	✓ Joanna Makris, "More Bark Than Bite", Data Communications, March 1999, Pages 37-42, 44, 46, 48, 50
ALN	AS	✓ International Search Report, PCT/US 00/31395, European Patent Office, 9.04.01
	AT	

EXAMINER Andrew Nalven	DATE CONSIDERED 9/5/01
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FORM PTO-1449, Adapted

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ATTY. DOCKET NO.		SERIAL NO.	FILING DATE				
07609.105002		09/469,586	December 22, 1999				
APPLICANT		GROUP					
Pearson							
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
ALN	AA	5,991,881	11/99	Conklin et al.	713	201	
	AB						
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FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION	
						YES	NO.
	AL						
	AM						
	AN						
	AO						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)							
ALN	AP	✓	Roesch, Martin, "Snort-Lightweight Intrusion Detection for Networks", no date.				
	AR						
	AS						
	AT						
EXAMINER	Andrew Nalven			DATE CONSIDERED			9/5/03
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							